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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3 Page 1 of 3



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): JOHNSON et al.

Appn. No.: 10 | 076,099 | Atty.Dkt. P | 273243 | PC0033A Reg |

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: February 15, 2002

Title: PULSED PLASMA PROCESSING METHOD AND APPARATUS

Attn: Application Division

Hon. Commissioner of Patents
Washington, DC 20231

Date: June 5, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
 2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. Specification originally filed in non-English language; hence verified translation attached of:
 a. Abstract
 b. # pages of Specification(only spec. & claims)
 c. Drawing(s) _____
 _____ No of Sheets
 _____ Fig(s). _____

4. Letter filing formal drawing attached.

5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/US00/21667	August 9, 2000	(2) 60/149,177	August 17, 1999
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. _____ (No.) Certified copy (copies): attached; previously filed (date) _____ filed on _____

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached:

12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

			Large/Small Entity		Fee Cod
13. Basic Filing Fee		Design Application Not Design Application	\$330/\$165 \$740/\$370	+740	106/26 101/201
14. Total Effective Claims	26	minus 20 =	6	x \$18/\$9	+108
15. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
18.			FILING FEE =	\$978	
19. Original due date:	June 10, 2002				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720 =	+0	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.			\$40	+40	581
23. Petition Fee for			\$130	+0	
24.			TOTAL FEE =	\$1018	

Our Deposit Account No. 03-3975

Our Order No.

71469

273243

**PLEASE CHARGE
OUR DEP. ACCT.**

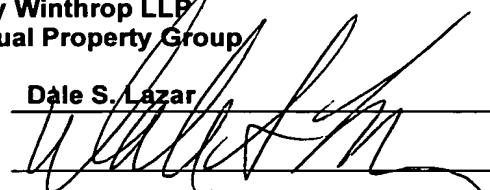
CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

By Atty: Dale S. Lazar

Reg. No. 28872

Sig: 

Fax: (703) 905-2500
T l: (703) 905-2126

Atty/Sec: DSL/JRH

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

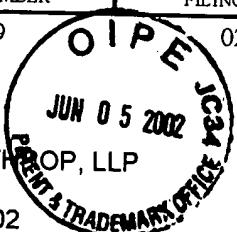


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,099	02/15/2002	Wayne L. Johnson	P 273243 PC0033A Reg

00909
 PILLSBURY WINSTROP, LLP
 P.O. BOX 10500
 MCLEAN, VA 22102



CONFIRMATION NO. 8536
 FORMALITIES LETTER



OC000000007836691

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 - \$108 for 6 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 978.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10076099
 06/06/2002 ZJUHARI 00000063 033975
 740.00 CH
 108.00 CH
 130.00 CH
 01 FC:101
 02 FC:103
 03 FC:105